W01 / Decentralization, state capacities and public policies: what is new to discover?

Topic : Public Administration, Governance, Networks

Chair : Eduardo Grin (Fundaçao Getulio Vargas- Brazil)

Second Chair : Johanna Schnabel (Freie Universität Berlin)

Third Chair : Ady Patricia Carrera-Hernandez

CALL FOR PAPERS

In decentralized countries the subnational governments have become the central units for implementing and providing public policies, which has increased their responsibilities and powers. In this sense, the requirements to qualify the subnational administration and governance were expanded to respond to its new roles both at the local level and in intergovernmental relations. Considering that, the research question of the workshop is: how does decentralization affect subnational state capacities and the implementation and provision of public policies? This workshop invites research scholars to submit papers focused on this question.

The demands imposed by the new responsibilities to the subnational level as a result of decentralized public policies has induced the creation, building and/or strengthening of their state capacities. In this sense, capabilities have become a key element to build and consolidate the autonomy attributed to subnational governments within the framework of decentralizing models. Therefore, the decentralization process requires more capacities in subnational governments. However, the comparative literature on decentralization lacks a more systematic approach linking this process and its effects on subnational state capacities and public policies. This is theoretical and empirical problem still asks more research.

This analytical gap of the decentralization takes on greater relevance after the COVID-19 because subnational governments were the main sphere responsible for implementing many public policies to face the pandemic. This scenario is even more challenging when the problems require high levels of multilevel governance as was the case with the COVID-19 pandemic. The complexity and novelty of this problem, considering its spillover effects, challenged countries to develop new intergovernmental policy capacities, especially the subnational units. It is also important to highlight the importance of subnational governments in the 2030 Agenda. The contributions expected from workshop participants are focused on connecting these three topics – decentralization, state capacity, and public policies. Among others, the workshop seeks to explore the following topics: (1) if he development and variance of subnational state capabilities in decentralization contexts affects the territorial equality and the performance of public policies; (2) if the design and quality of democratic governance in territories are affected by the level of development of subnational state capacities; (3) the development of subnational state capabilities in decentralization contexts is related to the quality of public administration in combating corruption, increasing own revenues and the performance of bureaucracies; (4) the development of subnational state capacities affects their role in the multilevel governance.

This workshop is open to receive papers focused on and developing theoretical, methodological and analytical models that address decentralization, state capacities, and public policies, empirical works both discussing national cases or in a comparative way. We welcome both quantitative or qualitative papers that explore different dimensions of the decentralization (administrative, financial, and political), and different dimensions of state capacity (administrative, technical, institutional, and political). The papers could address sectoral policies or even analyze decentralization in a more general way. The workshop is open to a variety of different types of papers in order to contribute to improve the knowledge on this research topic.

ABSTRACT

In decentralized countries the subnational governments have become the central units for implementing and providing public policies. The requirements to qualify the subnational administration and governance were expanded to respond to its new roles both at the local level and in intergovernmental relations. This workshop discusses how does decentralization affect subnational state capacities and the implementation and provision of public policies. The comparative literature on decentralization lacks a more systematic approach linking this process and its effects on subnational state capacities and public policies. This is

theoretical and empirical problem still asks more research.

This analytical gap of the decentralization takes on greater relevance after the COVID-19 pandemic because subnational governments were the main sphere responsible for implementing public policies. Furthermore, the complexity and novelty of this problem challenged countries to develop new intergovernmental policy capacities, especially the subnational units. It is also important to highlight the importance of subnational governments in the 2030 Agenda.

The workshop addresses these three topics – decentralization, state capacity, and public policies. There are many works that analyze decentralization and public policies, as well as a literature that analyzes state capacities and public policies. To advance scientific knowledge of the decentralization and public policies is also relevant to consider state capacity as a theoretical support. The workshop explores four hypotheses: (i) the development and variance of subnational state capacities in decentralization contexts affects the territorial equality and the performance of public policies; (ii) the design of democratic governance in territories are affected by the level of development of subnational state capacities; (iii) the development of subnational state capabilities in decentralization contexts is related to the quality of public administration in combating corruption, increasing own revenues and the performance of bureaucracies; (iv) the development of subnational state capacities affects their role in the multilevel arrangements.

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Session 1State capacity and environmental public policies

Wednesday, June 26th 10:15 to 12:00 (AULA 14)

Discussants

Alfonso Langle (University of Guadalajara)

(Virtual) State Capacity for Integrated Water Resources Management (IWRM)

Luiz Paulo de Morais (Universidade Federal de Viçosa)

Suely de Fátima Silveira (Universidade Federal de Viçosa)

Josué Costa (Universidade Federal de Viçosa)

Layana Silva (University of Viçosa (Brazil))

The effective decentralization of climate policies : what local GHG inventories tell us of policy capacity, coordination and instrumentation

Alvaro Artigas (Institut d'Etudes Politiques de Paris (Sciences Po))

Negotiating Power and Resources: How Decentralization Shapes Collaboration and Conflict in Marine Protected Areas

Alfonso Langle (University of Guadalajara)

Mariela Dueñas Rossell (University of Guadalajara)

Laura Ramírez Barragán (University of Guadalajara)

Rosa Chávez Dagostino (University of Guadalajara)

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Session 2Decentralized public policies and state capacity in subnational governments (I)

Wednesday, June 26th 14:00 to 17:15 (AULA 14)

Discussants

Tainá Cotrim (Universidade Federal do ABC)

THE PROMOTION OF THE RIGHT TO PUBLIC HEALTH IN BRAZIL: AN ANALYSIS BASED ON COOPERATIVE FEDERALISM AND INTERMUNICIPAL PROTAGONISM

Thaís Peixoto (Faculdade de Direito de São Bernardo do Campo, Brazil)

THE NEW FUNDEB AND INCENTIVES TO STRENGTHEN LOCAL STATE CAPACITIES IN EDUCATION

Fabiana Bento (FGV - EPPG School of Public Policy and Government)

Fernando Abrucio (Fundação Getulio Vargas)

(Virtual) Policy Capacity: An Analysis of Health and Climate Policies in Brazil

Tainá Cotrim (Universidade Federal do ABC)

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Session 3Decentralized public policies and state capacity in subnational governments (II)

Thursday, June 27th 09:00 to 12:15 (AULA 14)

Discussants

Özge Yüksekkaya (University of Amsterdam)

The Politics of (De-)Centralization: Public Land Policies as a Practice of State Capacity in Mexico and Turkey

Özge Yüksekkaya (University of Amsterdam)

The Supreme Court in decentralization in Mexico

Vicente Ugalde Saldaña (El Colegio de México)

(Virtual) Multiple Principals, Multiple Layers, Multiple Problems: China's National Integrated Circuit Industry Investment Fund through the Lens of Principal-Agent Theory

Baosi Meng (The Hong Kong University of Science and Technology (Guangzhou), China)

Xun Wu (Hong Kong University of Science and Technology)

Yao Jinxin (Hong Kong University of Science and Technology, Guangzhou)

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Session 4State capacity in local governments

Thursday, June 27th 14:00 to 17:15 (AULA 14)

Discussants

ROCÍO HUERTA (Instituto Politécnico Nacional)

Collaborative Governance and Policy Implementation in Subnational Governments

Rasine Ravelo M (Universidad del Magdalena (Colombia))

Municipal institutional capabilities index: instrument to measure local development processes.

ROCÍO HUERTA (Instituto Politécnico Nacional)

(Virtual) Facing wicked problems from the local level. Innovative public policies in municipalities in Chile

Antoine Maillet (Universidad de Chile)

Cecilia Osorio Gonnet (Universidad de Chile)

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Session 5Fiscal decentralization and state capacity

Friday, June 28th 09:00 to 12:15 (AULA 14)

Discussants

Anahí Gutiérrez Luna (Universidad Nacional Autónoma de México)

Fiscal decentralization and its effect on subnational corruption. A study of Mexico for the period 2015-2021

Anahí Gutiérrez Luna (Universidad Nacional Autónoma de México)

Impact of Mexican poverty intergovernmental transfers (FISM) in inequality among municipalities' financial capacities

Jose Pablo Aretia Ruiz (Centro de Investigaciones Económicas, Administrativas y Sociales)

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Session 6

Friday, June 28th 14:00 to 16:00 (AULA 14)

Conclusions

Eduardo Grin (Fundaçao Getulio Vargas- Brazil)

Ady Patricia Carrera-Hernandez